#### **PATENT**

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Shobu et al.

Examiner:

Unassigned ·

Serial No.:

10/721,555

Group Art Unit:

1755

Confirmation No:

5837

Docket:

105-78

Filed:

November 25, 2003

Dated:

March 9, 2004

For:

Aqueous Shellac Coating Agent and Production Process Therefor, and Coated Food and Production Process Therefor, Coated Drug and Production Process Therefor, Glazing composition for Oil-Based Confectionary, Glazing Process, and Glazed Oil-Based Confectionary Using Same

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

on <u>March 9, 2004</u>

Signed:

INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

### FOREIGN PATENT DOCUMENTS

COUNTRY	PUBLICATION NO.	PUBLICATION DATE
Japan	JP55162715	December 18, 1980
Japan	JP6133692	May 17, 1994
Japan	JP9110705	April 28, 1997
Japan	JP9110706	April 28, 1997
Japan	JP8311405	November 26, 1996
Japan	10-108630	April 28, 1998
Japan	JP10218794	August 18, 1998

Japan	JP10218795	August 18, 1998
WIPO	WO 99/06488	February 11, 1999
ЕРО	EP 0 988 801 A1	March 29, 2000
Japan	2002-001864	January 8, 2002
Japan	JP2002201418	July 19, 2002
Japan	2003-093000	April 2, 2003

### **NON-PATENT PUBLICATIONS**

- 1. 50<sup>th</sup> P.M.C.A. Production Conference, pp. 115-122 (1996).
- 2. Industrial Chocolate Manufacture and Use, Blackwell Science, 3<sup>rd</sup> Edition, pp. 297-298 (1999).

The above-referenced documents are listed on PTO Form 1449. Applicants have enclosed the cited documents to facilitate reference to them.

Applicants have also provided English-language abstracts for the foreign patents documents, with the exception of Japanese Publication No. 10-108630 and EP 0 988 801 A1. JP 10-108630 corresponds to EP 0 988 801 A1, which is in the English language.

If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,

ames F. Harrington Registration No.: 44,741

Attorney for Applicant(s)

HOFFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, New York 11791 (516) 822-3550 JFH/jp

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# FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
105-78	10/721,555
APPLICANT	CONFIRMATION NO.
Shobu et al.	5837
FILING DATE	GROUP
January 12, 2004	1755

### U.S. PATENT PUBLICATIONS

MAL	U.S. PATENT PUBLICATIONS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	

### FOREIGN PATENT DOCUMENTS

EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION		
INITIAL							554754	
						YES	NO	
	JP5516215	12/18/80	Japan					
	JP6133692	05/17/94	Japan					
	JP9110705	04/28/97	Japan					
	JP9110706	04/28/97	Japan					
	JP8311405	11/26/96	Japan					
	10-108630	04/28/98	Japan					
	JP10218794	08/18/98	Japan					
	JP10218795	08/18/98	Japan					
	WO 99/06488	02/11/99	WIPO					
	EP 0 988 801 A1	03/29/00	EPO					
	2002-001864	01/08/02	Japan					
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO. 105-78			SERIAL NO. 10/721,555		
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	JP2002201418	07/19/02	Japan					
	2003-093000	04/02/03	Japan					
ОТН	ER DOCUMENTS	(Including	Author,	Title, Da	te, Pertinent	Pages	, Etc.)	
	50 <sup>th</sup> P.M.C.	.A. Productio	on Conf	erence. p	p. 115-122 (	1996).		
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### **EXAMINER**

### DATE CONSIDERED

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